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TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
 13711

In Re Application Of: **Jpseph A. Rothnagel, et al.**

10/388
 8/22/02

Serial No.
 09/880,253

Filing Date
 June 13, 2001

Examiner
 Unassigned

Group Art Unit
 1645

Title: **EXPRESSION MODULATING SEQUENCES**

Address to:

Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
 2. a Notice of Allowance under 37 CFR 1.311,
- whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

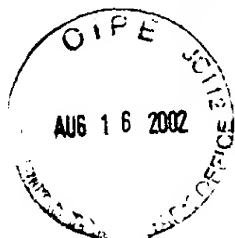
OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

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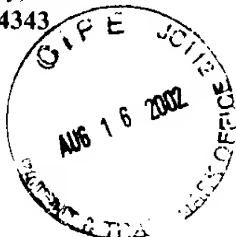
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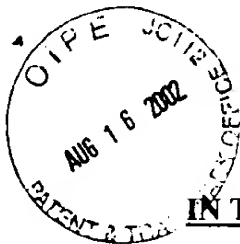
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Examiner: Unassigned

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Sir:

In accordance with 37 C.F.R. §§ 1.97 and 1.98, it is requested that the following references, which are also listed on the attached Form PTO-1449, be made of record in the above-identified case.

1. Pain, V.M. (1986), "Initiation of Protein Synthesis in Mammalian Cells", Biochem. J. 235: 625-637;
2. Modave, K. (1985, "Eukaryotic Protein Synthesis", Ann. Rev. Biochem. 54: 1109-1149;
3. Kozak, M. (1986), "Point Mutations Define a Sequence Flanking the AUG Initiator Codon that Modulates Translation by Eukaryotic Ribosomes", Cell. 44: 283-292;

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

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Michelle Mustafa

4. Sonenberg, N. (1990), "Poliovirus Translation", *Curr. Top. Micro. and Imm.* 161: 23-47;
5. Carrington, J.C. and Freed, D.D. (1990), "Cap-Independent Enhancement of Translation by a Plant Potyvirus 5' Nontranslated Region", *J. of Vir.* 64: 1590-1597;
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9. Stein et al. (1999), "GLI Gene Expression in Bone and Soft Tissue Sarcomas of Adult Patients Correlates with Tumor Grade", *Cancer Res.* 59: 1890-1895;
10. Ingham, P.W. (1998), "Transducing Hedgehog: the Story so Far", *EMBO J.* 17: 3505-3511;
11. Johnson, R.L. and Scott, M.P. (1998), "New Players and Puzzles in the Hedgehog Signaling Pathway", *Curr. Opin. Genet. Dev.* 8: 450-456;
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14. Ruppert et al. (1988), "The GLI-Kruppel Family of Human Genes", *Mol. Cell. Biol.* 8: 3104-3113;
15. Walterhouse et al. (1993), "gli, a Zinc Finger Transcription Factor and Oncogene, is Expressed During Normal Mouse Development", *Dev. Dyn.* 196: 91-102;
16. Hui et al. (1994), "Expression of three Mouse Homologs of the Drosophila Segment Polarity Gene cubitus interruptus, Gli, Gli-2 and Gli-3, in Ectoderm- and Mesoderm-Derived Tissues Suggests Multiple Roles during Postimplantation Development", *Dev. Biol.* 162: 402-413;
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20. Chiang et al. (1999), "Essential Role for Sonic hedgehog during Hair Follicle Morphogenesis", *Dev. Biol.* 205: 1-9;
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22. Hahn et al. (1996), "Mutations of the Human Homolog of *Drosophila* Patched in the Nevroid Basal Cell Carcinoma Syndrome", *Cell* 85: 841-851;
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26. Xie et al. (1998), "Activating Smoothened Mutations in Sporadic Basal Cell-Cacinoma", *Nature* 391 90-92;
27. Dahmane et al. (1997), "Activation of the Transcription Factor Gli1 and the Sonic Hedgehog Signalling Pathway in Skin Tumours", *Nature* 389: 876-881;
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39. Liu, et al.(1998), "Characterization of the Promotor Region and Genomic Organization of GLI, a Member of the Sonic-Hedgehog-Patched Signling Pathway", *Gene* 209:1-11;
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41. Hood, et al. (1986), "The Hypervirulence of Agrobacterium Tumefaciens A281 is Encoded in a Region of pTiB0542 Outside of T-DNA", *J. Bacterol.* 168: 1291-1301;
42. Hoekema et al. (1983), "A Binary Plant Vector Strategy Based on Separation of Vir- and T-region of the Agrobacterium Tumefaciens Ti-plasmid", *Nature* 303: 179-180;
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46. Thillet et al. (1988), "Site-directed Mutagenesis of Mouse Dihydrofolate Reductase", *J. Biol. Chem.* 263: 12500;
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62. Sambrook et al. (1982) Molecular Cloning: A Laboratory Manual, Cold Spring Harbor, NY, USA.

Applicants are submitting copies of the above-cited references.

Inasmuch as this Information Disclosure Statement is being submitted in accordance with the schedule set out in 37 C.F.R. § 1.97(b), no statement or fee is required.

Respectfully submitted,



Frank S. DiGiglio, Reg. No. 31,346

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PATENT AND TRADEMARK OFFICE

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Atty. Docket No. 13711

Serial No. 09/880,253

Applicant: Joseph A. Rothnagel, et al.

Filing Date: June 13, 2001

Group: 1645

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OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	1	Pain, V.M. (1986), "Initiation of Protein Synthesis in Mammalian Cells", Biochem. J. 235: 625-637
	2	Modave, K. (1985, "Eukaryotic Protein Synthesis", Ann. Rev. Biochem. 54: 1109-1149
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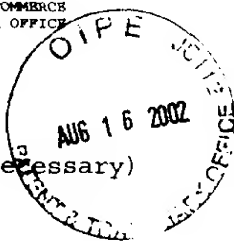
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